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TO: Examiner (not assigned yet)

FROM: Frank C. Eisenschenk, Ph.D.

COMPANY: U.S. Patent Office, Art Unit 1645

DATE: April 7, 2004

FAX NO.: 1-703-872-9306

NO. OF PAGES:  
(INCLUDING COVER SHEET) 6

SUBJECT/MESSAGE:

U.S. Patent Application Docket No. UF-167XC3D2  
Nucleic Acid Vaccines Against Rickettsial Diseases and  
Methods of Use  
(Barbet, et al.)  
Serial No. 10/772,077; Filed November 24, 2003

Attachments:

Preliminary Amendment (4 pgs)  
Amendment Transmittal Letter (1 pg)

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AMENDMENT TRANSMITTAL LETTER			ATTORNEY'S DOCKET NO. UF-167XC3D2
SERIAL NO. 10/722,077	FILING DATE November 24, 2003	EXAMINER (not yet assigned)	GROUP ART UNIT 1645
INVENTION Nucleic Acid Vaccines Against Rickettsial Diseases and Methods of Use			

## TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is an amendment in the above-identified application.

Small entity status of this application under 37 CFR 1.27 has been established by a verified statement previously submitted.

☒ Applicant claims small entity status.

A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.

☒ No additional fee is required.

The fee has been calculated as shown below:

(1) (2) (3) SMALL ENTITY					OTHER THAN A SMALL ENTITY	
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE
TOTAL	13	MINUS	20	0	\$ 9	\$ 0.00
INDEP.	3	MINUS	3	0	\$43	\$ 0.00
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM					\$145	\$ 0.00
					Total addit. fee	\$0.00

OR

	RATE	ADDITIONAL FEE
TOTAL	\$18	\$0.00
INDEP.	\$86	\$0.00
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM		\$290
		Total addit. fee
		\$0.00

OR

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the Highest No. Previously Paid For IN THIS SPACE is less than 20, enter "20."

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Please charge my Deposit Account No. 19-0065 in the amount of \$ 0.00.

A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 19-0065. Two additional copies of this paper are enclosed.

Any additional filing fees required under 37 CFR 1.16.

Any patent application processing fees under 37 CFR 1.17.

Frank O. Eischenschek, Ph.D., Patent Attorney

*Frank O. Eischenschek*  
(signature)

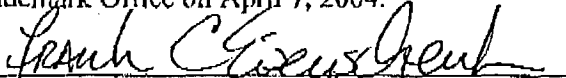
April 7, 2004

(date)

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facsimile transmitted to the United States Patent and  
Trademark Office on April 7, 2004.

  
Frank C. Eisenschenk, Ph.D., Patent Attorney

**PRELIMINARY AMENDMENT**

Examining Group 1645

Patent Application

Docket No. UF-167XC3D2  
Serial No. 10/722,077**RECEIVED****APR 07 2004****CENTRAL FAX CENTER****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Art Unit : 1645  
Applicants : Anthony F. Barbet, Michael V. Bowie, Roman Reddy Ganta, Michael John  
Burridge, Sunan M. Mahan, Travis C. McGuire, Fred R. Rurangirwa, Annie  
L. Moreland, Bigboy H. Simbi, William M. Whitmire, Arthur Rick Alleman  
Serial No. : 10/722,077  
Filed : November 24, 2003  
Confirm. No. : 8724  
For : Nucleic Acid Vaccines Against Rickettsial Diseases and Methods of Use

**MS NON-FEE AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**PRELIMINARY AMENDMENT**

Please amend the above-identified application as follows:

JAUF167XC3D2Amend RespPreAmend.doc/DNI/ssa